DIACAP Workflow Map Requirement for System C&A Initiate and Plan IA C&A (2 Conduct Analysis of System Initiate DIACAP Package Assign IA Controls and other Life Cycle Status and **DIACAP Implementation Plan** Workflow (Register System; Configuration (i.e. Initiate requirements Concurrence Assemble Team) **DIACAP Implementation Plan)** Output(s) ► List of Applicable IA Controls (Part of the DIACAP Implementation Plan) Output(s) DIACAP Implementation Plan Output(s) ► System Identification Profile (SIP) Responsible Entities: Primary: PM Secondary: CA, DAA, User Representative, & Validator Responsible Entities: Responsible Entities: Responsible Entities: Primary: PM Secondary: CA, DAA, User Representative, & Validator Primary: PM Secondary: CA, DAA, User Representative, & Validator Primary: PM Secondary: CA, DAA, & User Representative **Execute the DIACAP Implementation Plan** (5) Analyze and Compare Actual Results Against Expected Results in the Validation Develop Plan of Action & Validate IA Controls Milestones (POA&M) Activities Output(s) ► Validation Results Output(s) ► Plan of Action & Milestones (POA&M), if necessary Responsible Entities: Primary: Validator Responsible Entities: Primary: PM & Validator Secondary: CA Responsible Entities Primary: PM & User Representative (8) 9 Compile and PM Review Compile Validation Results Comprehensive DIACAP Package Output(s) ► DIACAP Scorecard Output(s) ► DIACAP Comprehensive Package ► Risk Assessment (Severity Codes) Responsible Entities: Primary: Validator Secondary: PM Responsible Entities: Primary: PM Secondary: Validator **Certification Determination and Accreditation Decision** (12) (10) (11) Make Perform Initial Certification Make Certification Accreditation Review Recomendations Decision Output(s) ► Certification Recommendation (Endorsement letter) Output(s) ► Certification Determination Output(s) Accreditation Decision (ATO, IATO, ATT, DATO) Responsible Entities: Primary: CA Responsible Entities: Primary: CA Secondary: Validator Responsible Entities Primary: DAA DATO IATT IATO-**Decision Types** (13) Conduct Live Testing within Revise DIACAP Execute POA&M АТО Specified Timeframe (If Needed) (If Needed) (If Needed) Responsible Entities: Primary: PM & Validator Secondary: IAM & User Representative Responsible Entities: Primary: PM, Validator, User Representative Responsible Entities: Primary: PM & Validator Maintain Authority to Operate and Conduct Reviews (14) (16) (15) Maintains Situation Awareness, Re-Accredition **Annual Reviews** Monitors Security Related Events. (Every 3 Years) Evaluate and Recommend IA Controls Output(s) ► Accreditation Decision (ATO, IATO, ATT, DATO) Output(s) ► Written Statement of IA Controls Review Responsible Entities: Primary: PM & Validator Responsible Entities: Primary: PM Responsible Entities: Primary: PM & Validator: **Decommission** (17) (18) Decision to **Retire System** Retire System